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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

NTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE

ATTORNEY'S DOCKET NUMBER
033792R003
U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. November 1, 2002 October 16, 2003 PCT/CN2003/000867 TITLE OF INVENTION NANO-TWIN COPPER MATERIAL WITH ULTRAHIGH STRENGTH AND HIGH CONDUCTIVITY AND ITS PREPARATION METHOD APPLICANT(S) FOR DO/EO/US Lei LU, et al. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). \boxtimes An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) \boxtimes are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). \boxtimes An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🛛 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🖂 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. 🔲 A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. Other items or information: Pub. WO 2004/040042, ISR (English/Chinese Language), PCT/IB/308 and Letter Accompanying translation 20. \boxtimes

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The Information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION TO. (KNOWN ZEED 7 (FR TO)). INTERNATIONAL APPLICATION NO.							ATTORNEY'S DOCKET NUMBER		
U.S. APPLICATION OF THE PROPERTY OF THE PROPER						033792R003			
The following fees are submitted:							CALCULATIONS	PTO USE ONLY	
21. 🗵 Basic national							\$ 300		
22. Examination Fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)							\$ 200		
23. ☑ Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority							\$ 400		
TOTAL OF 21, 22 and 23 =							\$ 900		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each									
Total Sheets Ex		Extra sheets		mber of each additional 50 or frachereof (round up to a whole num		RATE			
14	- 100 =	0/50 =				x \$250	\$0		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest							\$		
CLAIMS		NUMBER FILE	NUMBER FILED			RATE	\$		
Total claims		4	- 20 =	0	x	\$50	\$0		
							\$0		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360							\$		
WOLTIFIE DEFENDENT CENTINGO) (II applicable)							*		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							\$		
SUBTOTAL =							\$		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$		
TOTAL NATIONAL FEE =							\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$ 40		
TOTAL FEES ENCLOSED =							\$ 940		
							Amount to be	\$	
							Amount to be	\$	
a. 🔯	A check	in the amount of \$ 940		to cover the above	fees is	enclosed.			
b. 🔲		charge my Deposit Account		in the amount of \$		to cove	er the above fees.		
		ate copy of this sheet is end							
c. ⊠	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit								
Account No. 02.4300 . A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Dennis C. Rodgers SIGNATURE									
Smith, Gambrell & Russell Dennis C. Ro NAME							agers		
1850 M Street, N.W., Suite 800 NAME Washington DC 20036 (CLISTOMER NO 00441) 32 936									
Washington, DC 20036							NIIMRED		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lei LU, et al.

International Application No.: PCT/CN2003/000867

International Filing Date: October 16, 2003

Group Art Unit: To Be Assigned U.S. Serial No.: To Be Assigned

Examiner: To Be Assigned Filed: : Herewith

NANO-TWIN COPPER MATERIAL WITH ULTRAHIGH STRENGTH AND For: HIGH CONDUCTIVITY AND ITS PREPARATION METHOD

LETTER ACCOMPANYING ENGLISH-LANGUAGE TRANSLATION

Commissioner for Patents Washington, D.C. 20231

Sir:

In reference to the English translation submitted together with the accompanying International PCT Publication, please note that the translation incorporates the following change to the CN-language PCT publication

On page 3, lines 14-15:

After cold-working (as-rolled Cu), the tensile yield strength increases appropriately, being about 250 GPa MPa.

Attached with this letter is a print-out of the translated page 3 (line 15) with the version containing the incorrect unit "GPa" (i.e., it matches the PCT publication also containing the error). It is submitted that the unit indication of MPa instead of GPa would be immediately apparent as an appropriate correction to one of ordinary skill, particularly when considering the decimal points associated with the numbers utilizing the GPa and MPa units throughout the application.

Also, the above identified title conforms to that presented in the PCT publication which is referenced in the declaration, while the translation includes an alternate (considered accurate) translation of the title and thus its use in the filing receipt is requested.

> Respectfully submitted, SMITH, GAMBRELL & RUSSELL, LLP

Dennis C. Rodgers, Reg. No. 32,936 By:

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April 26, 2005

sliding) would be dominate, leading to the softening of materials. Therefore, for nanocrystalline materials, ultrahigh strength can be achieved by suppressing the dislocation activities and the grain boundary activities simultaneously.

Strengthening of solid solution alloying or introduction of a second phase is also effective method in blocking the motion of lattice dislocations. Cold-working (plastic straining), which generates numerous dislocations during deformation process and limits the further dislocation activities, also strengthen the materials. All of these strengthening approaches are based on the introduction of various kinds of defects (GBs, dislocations, point defects and reinforcing phases, etc.), which restrict dislocation motion but increase the scattering for the conducting electrons. The latter will decrease the electrical conductivity of materials.

For example, the tensile yield strength (o_y) of the coarse-grained Cu at room temperature is only 0.035 GPa, which is about two orders of magnitude lower than the theoretical strength, and the elongation is about 60%. After cold-working (as-rolled Cu), the tensile yield strength increases appropriately, being about 250 GPa. Nanocrystalline Cu has higher oy than coarse-grained Cu. American scientists J.R. Weertman et al. [Sander P.G., Eastman J.A. & Weertman J.R., Elastic and tensile behavior of nanocrystalline copper and palladium, Acta Mater., 45 (1997) 4019-4025] produced nanocrystalline Cu by inert-gas condensation with grain sizes of about 30 nm, and the tensile yield strength is 365 MPa at room temperature. Prof. R. Suryanarayana et al. [Suryanarayana R. et al., Mechanical properties of nanocrystalline copper produced by solution-phase synthesis, J. Mater. Res. 11 (1996) 439-448] prepared nanocrystalline copper powder by ball milling, then cold-pressed the purified Cu powder to nanocrystalline Cu with the grain size of 26 nm, it's yield strength is about 400 MPa. However, nanocrystalline samples have very limit elongations, usually less than 1-2%. In China, L. Lu, K. Lu et al. (patent application numbered 0114026.7) produced bulk nanocrystalline Cu with the grain sizes of 30 nm by electrodeposition technique. It is indicated that the as-deposited nanocrystalline Cu consisted of small-angle GBs, unlike the large-angle GBs in conventional nanometer materials. The yield strength at room temperature is 119 MPa and the elongation 30%.